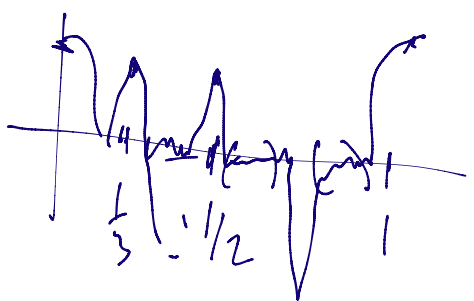


# Orbital Circle Method II: Major Arcs + Expansion.

Last time:  $R_N(\theta) = \sum_{\gamma \in \Gamma_N = \Gamma \backslash B_N} e(\theta \langle \gamma v, w \rangle)$ . Goal: evaluate on major arcs.



let's try to evaluate at  $\theta = \frac{r}{q} + \beta$ ;

$e_q(x) = e^{2\pi i x/q}$ .

$R_N(\frac{r}{q}) = \sum_{\gamma \in \Gamma_N} e_q(r \langle \gamma v, w \rangle)$

$\Rightarrow \sum_{\gamma_0 \in \Gamma \pmod{q}} \sum_{\substack{\gamma \in \Gamma_N \\ \gamma \equiv \gamma_0 \pmod{q}}} e_q(r \langle \gamma v, w \rangle)$

$m: \frac{1}{q} \ll \frac{r}{q} = N^2$   
 $m: \frac{r}{q}, |\beta| \text{ small.}$

$= \sum_{\gamma_0 \in \Gamma \pmod{q}} e_q(r \langle \gamma_0 v, w \rangle) \left[ \sum_{\substack{\gamma \in \Gamma_N \\ \gamma \equiv \gamma_0 \pmod{q}}} 1 \right]$

“simple?”

$\leftarrow q$  grows (slowly-ish) with  $q$  power of  $N$ .

Need to count  $m$  archimedean  $\left\{ \begin{array}{l} \text{falls in progressions, uniformly in } q. \end{array} \right.$

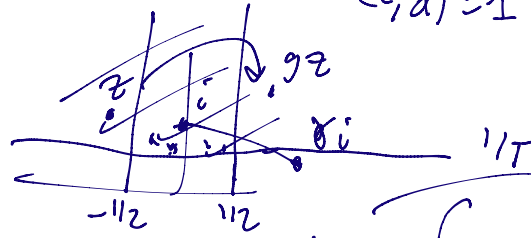
$\sum_{\substack{\gamma \in \Gamma_N \\ \gamma \equiv \gamma_0 \pmod{q}}} 1 = \frac{1}{|\Gamma \pmod{q}|} \sum_{\gamma \in \Gamma_N} 1 + O(|\Gamma_N| \cdot N^{-\theta})$

spectral gap  $\theta > 0$

How to do this? Depends! In each context, done by different tools!  
 Adelman - homogeneous dynamics, autom. reps, ...

Zarembka - Congruence transfer operator, thermodynamics ...

"Baby version": Count  $\# \{ (c,d) : \begin{matrix} c^2+d^2 < N^2 \\ c,d > 1 \end{matrix} \}$   $\begin{matrix} \text{CEO}(c) \\ d \geq 1(c) \end{matrix}$   
 $\mathbb{H} = \{ z = x+iy \mid y > 0 \}$   $N^2 \cong T$



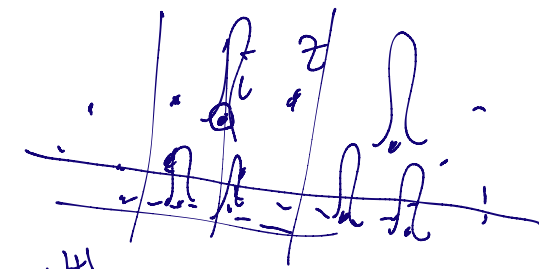
$G = SL_2(\mathbb{R})$ ,  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} : z \mapsto \frac{az+b}{cz+d}$ .  $(E \times 1) \rightarrow e \in \mathbb{H}$ ,  $ad-bc=1$

Ex 2:  $\text{Im } gz = \frac{\text{Im } z}{|cz+d|^2}$ . If  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma = SL_2(\mathbb{Z})$ ,  $\tau_{\infty} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$

$\Rightarrow \text{Im } \gamma i = \frac{1}{c^2+d^2} > \frac{1}{T}$

So Count =  $\sum_{\gamma \in \Gamma \setminus \Gamma} \mathbb{1}_{\{\text{Im } \gamma i > \frac{1}{T}\}} = F_T(i)$ , where

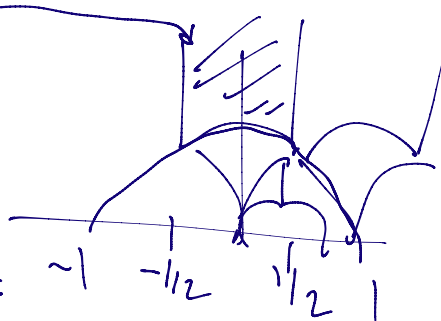
$F_T(z) := \sum_{\gamma \in \Gamma \setminus \Gamma} \mathbb{1}_{\{\text{Im } \gamma z > \frac{1}{T}\}}$

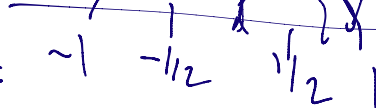


Let  $\Phi$  be a bump function of rad  $\epsilon$  about  $i$  (Approx delta spike at  $i$ )

Ex 3:  $\langle F_T, \Phi \rangle = \text{Count} + O(\epsilon \cdot T^{-1}) \cdot \int_{\Gamma \setminus \mathbb{H}} F_T(z) \Phi(z) \frac{dx dy}{y^2}$

Let  $\Delta = \text{Laplacian/Beltrami} = -y^2 (\partial_{xx} + \partial_{yy}) \hookrightarrow L^2(\Gamma \setminus \mathbb{H})$

$f \in L^2(\Gamma \setminus \mathbb{H})$ 

 $\int_{\Gamma \setminus \mathbb{H}} |f|^2 \frac{dx dy}{y^2} < \infty$

$z \mapsto -\frac{1}{z} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} z$ 


G-invariant Ex 4:

$\Delta$  is self-adjoint,  $\langle f, \Delta g \rangle = \langle \Delta f, g \rangle$  Ex 5:

pos-def:  $\langle \Delta f, f \rangle \geq 0$ . Ex 6:  $\Rightarrow$  eigenvalues spectrum  $\geq 0$ .

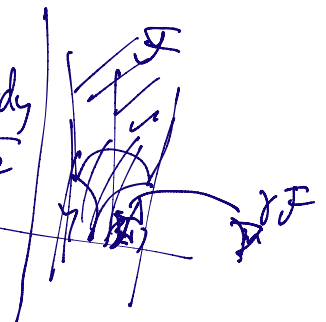
Analogy:  $\mathcal{H}$  = vect space,  $\Delta$  symm matrix.  $\Rightarrow$  diagonalizable & R-eigen.

Pretend:  $\mathcal{H} = L^2(\Gamma \setminus \mathbb{H})$  has orthonormal basis of eigenfunct. Maass.

$\bigoplus_{j=1}^{\infty} \mathbb{C} \psi_j$ ,  $\Delta \psi_j = \lambda_j \psi_j = s_j(1-s_j) \psi_j$

Then  $\langle F_T, \Phi \rangle = \sum_j \langle F_T, \psi_j \rangle \langle \psi_j, \Phi \rangle \approx \psi_j(i)$ .

"Unfold"

$\langle F_T, \psi_j \rangle = \int_{\Gamma \setminus \mathbb{H}} \left( \sum_{\gamma \in \Gamma} \mathbb{1}_{\{\text{Im}(\gamma z) > \frac{1}{T}\}} \right) \psi_j(z) \frac{dx dy}{y^2}$ 


$= \sum_{\gamma \in \Gamma} \int_{\mathcal{F}} \mathbb{1}_{\{\text{Im}(\gamma z) > \frac{1}{T}\}} \psi_j(z) \frac{dx dy}{y^2}$

Change variables  $z \mapsto \gamma^{-1} z$ .

$= \sum \int \dots$

$$\left[ \gamma \in \mathbb{R} \atop T_\infty \right] \left[ \frac{1}{\{\text{Im } z > \frac{1}{T}\}} \varphi_j(z) \frac{dx dy}{y^2} \right]$$

add up tiles,  
up to translation

no  $\gamma$ 's!

$$= \int_{T_\infty/H} \frac{1}{\{\text{Im } z > \frac{1}{T}\}} \varphi_j(z) \frac{dx dy}{y^2} = \int_{\frac{1}{T}}^{\infty} \left[ \int_{-1/2}^{1/2} \varphi_j(z) dx \right] \frac{dy}{y^2} = f(y).$$

$$\Delta f(y) = -y^2 \left( \frac{d^2}{dx^2} + \frac{d^2}{dy^2} \right) f(y) = \int_{-1/2}^{1/2} \Delta \varphi_j(z) dx = \lambda_j f(y).$$

$$-y^2 \frac{d^2}{dy^2} f(y) = \underbrace{S(1-S)}_{\lambda} f(y). \quad \text{Ex 7: } f(y) = y^s, y^{1-s}$$

$1 > S > \frac{1}{2}$ ,  $f_y = S y^{s-1}$

Ex 8:  $\lambda > 0 \Rightarrow \frac{1}{2} < S < 1$  or  $s = \frac{1}{2} + it$ .  $f_{yy} = S(S-1)y^{s-2}$ .

So ...  $\Rightarrow f(y) = c_j y^{1-s_j}$ . So  $i \langle F_T, \varphi_j \rangle =$

$$\int_{\frac{1}{4}}^{\infty} c_j y^{1-s_j} \frac{dy}{y^2} = \boxed{c_j \frac{T^{s_j}}{s_j}} \quad (\text{Ex 9:})$$

$$\text{Count} \approx \langle F, \mathbb{1} \rangle = \sum_{j=0}^{\infty} \langle F_T, \varphi_j \rangle \varphi_j(i)$$

$$= \sum_{j=0}^{\infty} c_j' T^{s_j}$$

steps:  $\lambda(e)$



$$= T^{-1} \cdot c + O(T^{-s_1(q)}) \quad \lambda=0, s_0=1.$$

$$\leftarrow T^{-1-\theta} \quad \forall q$$

"Principal congruence subgroups"  $\Gamma(q) = \{\gamma \in \Gamma \mid \gamma \equiv I(q)\}$

$$\Gamma_1(q) = \{\gamma \in \Gamma \mid \gamma \equiv \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} (q)\}, \quad \Gamma_0(q) = \{\gamma \in \Gamma \mid \gamma = \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} (q)\}$$

Do all of the above with  $\Gamma \rightarrow \Gamma_1(q)$ .

What happens to  $\text{Spec } \Delta \hookrightarrow L^2(\Gamma_1(q) \backslash \mathbb{H})$

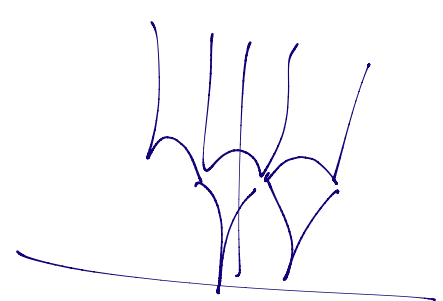
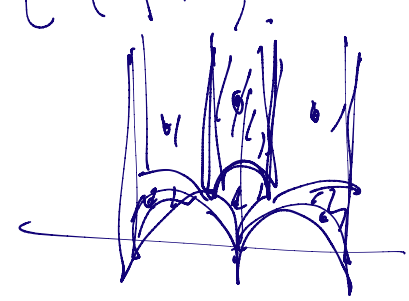
Expansion  $\Leftrightarrow \exists \theta > 0$  s.t.  $s_1(q) < 1 - \theta$

generalized  
 (Ramanujan conj / Selberg  $\frac{1}{4}$ -conj :  $\text{Re}(s_1(q)) = \frac{1}{2}$ )

Selberg  $\frac{3}{8}$  thm '60s.

Say  $\psi$  is eigenfunction of  $\Delta$  on  $L^2(\Gamma_1(q) \backslash \mathbb{H})$   
 but not on  $L^2(\Gamma \backslash \mathbb{H})$

Burgin-bounded  
 Eruck'10



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